

## Regional Air Quality Council

Program Name: **Clean Air Fleets**

### Required Forms Check - List

The attached forms need to be signed and dated by a representative each school district, local government or private fleet company participating in the Regional Air Quality Council's Clean Air Fleets: Diesel Emissions and Idling Reduction Partnership.

Should you have any questions regarding the forms, contact Misty Howell at (303) 629-5450 ext. 200 or [mhowell@raqc.org](mailto:mhowell@raqc.org). For questions regarding the program, contact Steve McCannon at 303-629-5450 ext. 230 or [smccannon@raqc.org](mailto:smccannon@raqc.org).

- Retrofit Application
- Certification Regarding Lobbying
- Certification Regarding Debarment, Suspension, and Other Responsibility Matters
- Assurances – Non Construction Programs
- W-9: Request for Taxpayer Identification Number and Certification
- Contact List

Please have the appropriate representative sign and date the forms and return them with the original signatures to the Regional Air Quality Council at the address below by the date indicated in the transmittal email.

Kate Riegle  
Program Coordinator  
Regional Air Quality Council  
1445 Market Street, Suite 260  
Denver, CO 80202

Please note that because this program uses Federal funds any school district, local government or company that has not completed the appropriate paperwork, outlined above, will not be eligible to participate.

## Clean Air Fleets Retrofit Funding Program Application

|                          |       |
|--------------------------|-------|
| Contact:                 | _____ |
| Organization/Company:    | _____ |
| Address:                 | _____ |
| Phone/Fax Number:        | _____ |
| Email:                   | _____ |
| Dun & Bradstreet Number: | _____ |
| Size of Fleet:           | _____ |

### **Program Description:**

The Clean Air Fleets (CAF) Retrofit Program is designed to allow public and private fleets to take advantage of funding to utilize new technologies in their heavy and medium-duty diesel fleets. Upon receiving program funding, the RAQC bids out equipment to vendors that sell technologies on the Environmental Protection Agency's or California Air Resources Board's Verified Retrofit Technology Lists. Therefore, only certain equipment from winning vendors is available through this program. You may visit [www.cleanairfleets.org](http://www.cleanairfleets.org) for more information.

By signing this agreement, the fleet agrees to the program requirements below. If you have any questions, please contact Steve McCannon at [smccannon@raqc.org](mailto:smccannon@raqc.org) or (303) 629-5450 ext. 230.

### **Program Requirements:**

- The applicant fleet routinely operates from a terminal, maintenance facility, branch, or division located within the Denver metropolitan area;
- Applicant fleet agrees to routinely operate the retrofitted vehicle out of the Denver metropolitan area for a period of two years or notify the RAQC if the vehicle is permanently moved elsewhere;
- The applicant fleet is in compliance with all State of Colorado emissions rules and regulations;
- The applicant fleet ensures funds shall be used on actively insured vehicles;
- No applicant fleet principals are subject to any federal debarment or exclusion on any federal award or are under indictment by any federal, state or local government entity;
- The applicant fleet will not engage in severe forms of trafficking of persons, procure commercial sex acts or use forced labor in the performance of this grant;
- Applicant fleet facilities make an ongoing, good faith effort to maintain a drug-free workplace;
- Applicant fleet agrees to assist RAQC with data that could include, but is not limited to, jobs retained/created data, vehicle operating data, emissions data and fuel savings data (data may be printed in reports which will not identify the specific fleet's information); and
- Participating fleets agree to notify RAQC of sales of any retrofit equipment with a total cost over \$5,000 prior to selling equipment and will attempt to move it to an alternate vehicle as feasible.

|                                  |                                    |
|----------------------------------|------------------------------------|
| Vehicle Description/Model Years: | _____                              |
| Equipment Type Requested:        | _____                              |
| CAF Funding Requested:           | _____ Company Funding Match: _____ |

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

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## Certification Regarding Lobbying

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal Contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents of all sub-award at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_\_  
Typed Name of School District/Local Government/Company

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed Name & Title of Authorized Representative

\_\_\_\_\_  
Signature of Authorized Representative

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## Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of his or her knowledge and belief that he or her and the principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had any civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicated for otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transaction (Federal, State, or local) terminated or cause or default.

I understand that a false statement on this certification may be ground for rejection of this proposal or termination of the award. In addition, under 18 USC Section 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

\_\_\_\_\_  
Typed Name of School District/Local Government/Company

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed Name & Title of Authorized Representative

\_\_\_\_\_  
Signature of Authorized Representative

I am unable to certify to the above statements. My explanation is attached.

# Regional Air Quality Council

Program Name: **Clean Air Fleets**

## Contact List

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Typed Name of School District/Local Government/Company

### **Fleet Contact:**

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Name

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Title

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Phone

Fax

---

Email

### **Accounting/Grant Management Contact:**

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Name

---

Title

---

Phone

Fax

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Email

### **Billing Information:**

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Address (include department, and city, state and zip code)

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